

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):  
Andrew Charles David Hay, et al

Serial No.: 09/931,657

Filed: August 16, 2001

For: "SECURITY APPARATUS"



RE: Response to Notice to File  
Missing Parts of  
Nonprovisional Application  
(Filing Date Granted)

Group Art Unit: 2152

Examiner: unknown

Our Ref.: B-4271 618992-5

Date of this paper:

November 20, 2001

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Attn: Customer Service Center  
Initial Patent Examination Division

Sir:

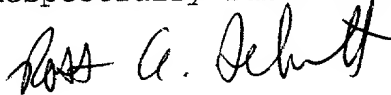
In response to the Notice to File Missing Parts of Nonprovisional Application (Filing Date Granted) dated 09/26/2001 (copy enclosed), please find enclosed the following:

- 1) Check for \$890.00 to cover the basic official filing fee and the surcharge for late filing of Declaration/Power (**large** entity);
- 2) original Declaration/Power signed by the inventors;
- 3) Claim to Priority with Certified Copy of Great Britain Application No. 0020438.8; and
- 4) a substitute set of formal drawings (11 sheets of Figs. 1-6, 7a-7b, 8-16) in compliance with 37 CFR 1.84 and separate cover letter.

OVER FOR CERTIFICATE OF MAILING ---->

The Commissioner is authorized to charge any additional fees which may be required or credit overpayment to Deposit Account No. 12-0415 and, in particular, if this paper is not timely filed, then the Commissioner is authorized to treat this paper as including a petition to extend the time period pursuant to 37 CFR 1.136(A) requesting an extension of time of the number of months necessary to make this paper timely filed and the petition fee due in connection therewith may be charged to Deposit Account No. 12-0415.

Respectfully submitted,



Ross A. Schmitt  
Attorney for Applicant  
Reg. No. 42,529  
LADAS & PARRY  
5670 Wilshire Boulevard  
Suite 2100  
Los Angeles, CA 90036  
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Enclosures: Copy of Notice to File Missing Parts of  
Nonprovisional Application (Filing Date Granted)  
Check for \$890.00  
Declaration/Power signed by the inventors  
Claim to Priority with Certified Copy  
of Great Britain Application No. 0020438.8  
substitute set of formal drawings  
(11 sheets of Figs. 1-6, 7a-7b, 8-16)  
and separate cover letter

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**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

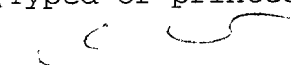
Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Attn: Customer Service Center  
Initial Patent Examination Division

Karyn Lao

(Typed or printed name of person mailing paper)

Date: November 20, 2001

  
(Signature of person mailing paper)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Andrew Charles David May, ) RE: Substitute Drawings  
et al. )  
Serial No.: 09/931,657 ) Group: 2152  
Filed: August 16, 2001 ) Examiner: not yet assigned  
For: "SECURITY APPARATUS" ) Our Ref: B-4271 618992-5  
Date: November 20, 2001



Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Attn: Customer Service Center  
Initial Patent Examination Division

ATTN: OFFICIAL DRAFTSPERSON

Sir,

In response to the Notice to File Corrected Application Papers (Filing Date Granted) dated 09/26/2001, a substitute set of **formal** drawings (11 sheets of Figs. 1-6, 7a-7b, 8-16) in compliance with 37 CFR 1.84 is enclosed herewith.

Please note that the block of characters in the middle of Fig. 8 are characters and not text in any language; and therefore the block of characters in the middle of Fig. 8 does not need to be translated into English.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Ross A. Schmitt".

Ross A. Schmitt  
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Enclosures: substitute set of formal drawings  
(11 sheets of Figs. 1-6, 7a-7b, 8-16)

COPY OF PAPERS  
ORIGINALLY FILED

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,657	08/16/2001	Andrew Charles David Hay	B-4271 618992-5

LADAS & PARRY  
Suite 2100  
5670 Wilshire Boulevard  
Los Angeles, CA 90036-5679

CONFIRMATION NO. 2844

FORMALITIES LETTER



\*OC000000006793000\*

Date Mailed: 09/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice **MUST** be returned with the reply.*

Repin. Ref: 01/22/2002 BHA011W 00775400  
DAH:120415 Name/Number: 09931657 \$20.00 R  
FC: 704

01/22/2002 BHA011W 00040005 09931657

01 FC:101 740.00 OF  
02 FC:105 130.00 OF

Beth + Austin

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED  
JUL 10 2003  
U.S. PATENT & TRADEMARK OFFICE  
WASHINGTON, DC 20503